

CYCLIC SIEVING OF INCREASING TABLEAUX AND SMALL SCHRÖDER PATHS

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ABSTRACT. An *increasing tableau* is a semistandard tableau with strictly increasing rows and columns. It is well known that the Catalan numbers enumerate both rectangular standard Young tableaux of two rows and also Dyck paths. We generalize this to a bijection between rectangular 2-row increasing tableaux and small Schröder paths. Using the jeu de taquin for increasing tableaux of [Thomas–Yong ’09], we then present a new instance of the cyclic sieving phenomenon of [Reiner–Stanton–White ’04].

1. INTRODUCTION

An *increasing tableau* is a semistandard tableau such that all rows and columns are strictly increasing and the set of entries is an initial segment of $\mathbb{Z}_{>0}$. For λ a partition of N , we write $|\lambda| = N$. We denote by $\text{Inc}(\lambda; k)$ the set of increasing tableaux of shape λ with maximum value $|\lambda| - k$. Similarly $\text{SYT}(\lambda)$ denotes standard Young tableaux of shape λ . Notice $\text{Inc}(\lambda; 0) = \text{SYT}(\lambda)$. We routinely identify a partition λ with its Young diagram; hence for us the notations $\text{SYT}(m \times n)$ and $\text{SYT}(n^m)$ are equivalent.

A *small Schröder path* is a planar path from the origin to $(n, 0)$ that is constructed from three types of line segment: *upsteps* by $(1, 1)$, *downsteps* by $(1, -1)$, and *horizontal steps* by $(2, 0)$, so that the path never falls below the horizontal axis and no horizontal step lies on the axis. The n^{th} *small Schröder number* is defined to be the number of such paths. A *Dyck path* is a small Schröder path without horizontal steps.

Our first result is an extension of the classical fact that Catalan numbers enumerate both Dyck paths and rectangular standard Young tableaux of two rows, $\text{SYT}(2 \times n)$. For $T \in \text{Inc}(2 \times n; k)$, let $\text{maj}(T)$ be the sum of all i in row 1 such that $i + 1$ appears in row 2.

Theorem 1.1. *There are explicit bijections between $\text{Inc}(2 \times n; k)$, small Schröder paths with k horizontal steps, and $\text{SYT}(n - k, n - k, 1^k)$. This implies the identity*

$$(1) \quad \sum_{T \in \text{Inc}(2 \times n; k)} q^{\text{maj}(T)} = q^{n + \frac{1}{2}(k^2 + k)} \frac{\begin{bmatrix} n-1 \\ k \end{bmatrix}_q \begin{bmatrix} 2n-k \\ n-k-1 \end{bmatrix}_q}{[n-k]_q}.$$

In particular, the total number of increasing tableaux of shape $2 \times n$ is the n^{th} small Schröder number.

The “flag-shaped” standard Young tableaux of Theorem 1.1 were previously considered by R. Stanley [Sta96] in relation to polygon dissections.

Suppose X is a finite set, $\mathcal{C}_n = \langle c \rangle$ a cyclic group acting on X , and $f \in \mathbb{Z}[q]$ a polynomial. The triple (X, \mathcal{C}_n, f) has the *cyclic sieving phenomenon* [RSW04] if for all m , the number of elements of X fixed by c^m is $f(\zeta^m)$, where ζ is any primitive n^{th} root of unity. D. White

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[Whi07] discovered a cyclic sieving for $2 \times n$ standard Young tableaux. For this, he used a q -analogue of the hook-length formula (that is, a q -analogue of the Catalan numbers) and a group action by jeu de taquin promotion. B. Rhoades [Rho10, Theorem 1.3] generalized this result from $\text{Inc}(2 \times n; 0)$ to $\text{Inc}(m \times n; 0)$. Our main result is a generalization of D. White's result in another direction, from $\text{Inc}(2 \times n; 0)$ to $\text{Inc}(2 \times n; k)$.

We first define *K-promotion* for increasing tableaux. Define the *SE-neighbors* of a box to be the (at most two) boxes immediately below it or right of it. Let T be an increasing tableau with maximum entry M . Delete the entry 1 from T , leaving an empty box. Repeatedly perform the following operation simultaneously on all empty boxes until no empty box has a SE-neighbor: Label each empty box by the minimal label of its SE-neighbors and then remove that label from the SE-neighbor(s) in which it appears. If an empty box has no SE-neighbors, it remains unchanged. We illustrate the local changes in Figure 1.

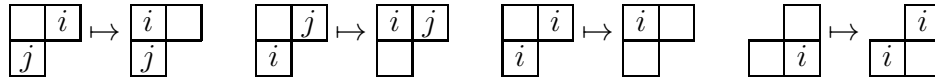


FIGURE 1. Local changes during K-promotion for $i < j$.

Notice that the number of empty boxes may change during this process. Finally we obtain the K-promotion $\mathcal{P}(T)$ by labeling all empty boxes by $M + 1$ and then subtracting one from every label. Figure 2 shows a full example of K-promotion.

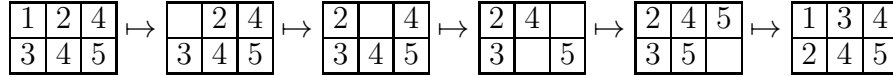


FIGURE 2. K-promotion.

Our definition of K-promotion is analogous to that of ordinary promotion, but uses the K-jeu de taquin of H. Thomas–A. Yong [TY09] in place of ordinary jeu de taquin. (The ‘K’ reflects their original development of K-jeu de taquin in application to K-theoretic Schubert calculus.) Observe that on standard Young tableaux, promotion and K-promotion coincide.

We will need:

Theorem 1.2. *For all n and k , there is an action of the cyclic group \mathcal{C}_{2n-k} on $T \in \text{Inc}(2 \times n; k)$, where a generator acts by K-promotion.*

In the case $k = 0$, Theorem 1.2 is implicit in work of M.-P. Schützenberger (cf. [Hai92, Sta09]). The bulk of this paper is devoted to two combinatorial proofs of Theorem 1.2, which we believe provide different insights. Finally we construct the following cyclic sieving.

Theorem 1.3. *For all n and k , the triple $(\text{Inc}(2 \times n; k), \mathcal{C}_{2n-k}, f)$ has the cyclic sieving phenomenon, where*

$$f(q) := \frac{\begin{bmatrix} n-1 \\ k \end{bmatrix}_q \begin{bmatrix} 2n-k \\ n-k-1 \end{bmatrix}_q}{[n-k]_q}$$

is the q -enumerator from Theorem 1.1.

Our proof of Theorem 1.3 is elementary. In contrast, all proofs [Rho10, Pur12] of B. Rhoades’ theorem for standard Young tableaux use representation theory or geometry. (Also [PPR09],

where the authors give new proofs of the 2- and 3-row cases of B. Rhoades' result, uses representation theory.) It is natural to ask also for such proofs of Theorem 1.3. We do not know a common generalization of our Theorem 1.3 and B. Rhoades' theorem to $\text{Inc}(m \times n; k)$. One obstruction is that for $k > 0$, Theorem 1.2 does not generalize in the obvious way to tableaux of more than 3 rows (cf. Example 3.10).

This paper is organized as follows. In Section 2, we prove Theorem 1.1. We include an additional bijection (to be used in Section 4) between $\text{Inc}(2 \times n; k)$ and certain noncrossing partitions that we interpret as generalized noncrossing matchings. In Section 3, we prove a strengthening of Theorem 1.2 through combinatorics of small Schröder paths. We also provide a counterexample to the analogous statement for 4-row increasing tableaux. In Section 4, we use noncrossing partitions to give a second proof of Theorem 1.2 and to prove Theorem 1.3.

2. BIJECTIONS AND ENUMERATION

Proposition 2.1. *There is an explicit bijection between $\text{Inc}(2 \times n; k)$ and $\text{SYT}(n-k, n-k, 1^k)$.*

Proof. Let $T \in \text{Inc}(2 \times n; k)$. The following algorithm produces a corresponding $S \in \text{SYT}(n-k, n-k, 1^k)$. Observe that every value in $\{1, \dots, 2n-k\}$ appears in T either once or twice. Let A be the set of numbers that appear twice. Let B be the set of numbers that appear in the second row immediately right of an element of A . Note $|A| = |B| = k$.

Let T' be the tableau of shape $(n-k, n-k)$ formed by deleting all elements of A from the first row of T and all elements of B from the second. The standard Young tableau S is given by appending B to the first column. An example is shown in Figure 3.

This algorithm is reversible. Given the standard Young tableau S of shape $(n-k, n-k, 1^k)$, let B be the set of entries below the first two rows. By inserting B into the second row of S while maintaining increasingness, we reconstruct the second row of T . Let A be the set of elements immediately left of an element of B in this reconstructed row. By inserting A into the first row of S while maintaining increasingness, we reconstruct the first row of T . \square

Corollary 2.2. *For all n and k ,*

$$\sum_{T \in \text{Inc}(2 \times n; k)} q^{\text{maj}(T)} = q^{n + \frac{1}{2}(k^2 + k)} \frac{\begin{bmatrix} n-1 \\ k \end{bmatrix}_q \begin{bmatrix} 2n-k \\ n-k-1 \end{bmatrix}_q}{[n-k]_q}.$$

Proof. Observe that $\text{maj}(T)$ for a 2-row rectangular increasing tableau T is the same as the major index of the corresponding standard Young tableau. The desired q -enumerator follows by applying the q -hook-length formula to those standard Young tableaux (cf. [Sta99, Corollary 7.21.5]). \square

Proof of Theorem 1.1. The bijection between $\text{Inc}(2 \times n; k)$ and $\text{SYT}(n-k, n-k, 1^k)$ is given by Proposition 2.1. The q -enumeration (1) is exactly Corollary 2.2.

We now give a bijection between $\text{Inc}(2 \times n; k)$ and small Schröder paths with k horizontal steps. Let $T \in \text{Inc}(2 \times n; k)$. For each integer j from 1 to $2n-k$, we create one segment of a small Schröder path P_T . If j appears only in the first row, then the j^{th} segment of P_T is an upstep. If j appears only in the second row of T , the j^{th} segment of P_T is a downstep. If j appears in both rows of T , the j^{th} segment of P_T is horizontal. It is clear that the tableau T can be reconstructed from the small Schröder path P_T , so this operation gives a bijection. Thus increasing tableaux of shape (n, n) are counted by small Schröder numbers.

T and c must appear in the second row of T (not necessarily exclusively). We may assume c to be the least element of B that is greater than b . We may then assume b to be the greatest element of B' that is less than c . Now consider s_c , which must exist since c appears in the second row of T . By definition, s_c is the largest number in the first row that is less than c and that is not s_j for some $j < c$. By assumption, b appears in the first row, is less than c , and is not s_j for any $j < c$; hence $s_c \geq b$. Since however b and c lie in distinct blocks, $s_c \neq b$, whence $b < s_c < c$. This is impossible, since we took c to be the least element of B greater than b . Thus the partition is necessarily noncrossing.

To reconstruct the increasing tableau, read the partition from 1 to $2n - k$. Place the smallest elements of blocks in only the first row, place the largest elements of blocks in only the second row, and place intermediate elements in both rows. \square

The set $\text{Inc}(2 \times n; k)$ is also in bijection with $(n + 2)$ -gon dissections by $n - k - 1$ diagonals. We do not describe this bijection, as it is well known (cf. [Sta96]) and will not be used except in Section 4 for comparison with previous results. The existence of a connection between increasing tableaux and polygon dissections was first suggested in [TY11]. An example of all these bijections is shown in Figure 3.

Remark 2.1. A *noncrossing matching* is a noncrossing partition with all blocks of size two. Like Dyck paths, polygon triangulations, and 2-row rectangular standard Young tableaux, noncrossing matchings are enumerated by the Catalan numbers. Since increasing tableaux were developed as a K-theoretic analogue of standard Young tableaux, it is tempting also to regard small Schröder paths, polygon dissections, and noncrossing partitions without singletons as K-theory analogues of Dyck paths, polygon triangulations, and noncrossing matchings, respectively. In particular, by analogy with [PPR09], it is tempting to think of noncrossing partitions without singletons as “K-webs” for \mathfrak{sl}_2 , although their representation-theoretic significance is unknown.

3. K-PROMOTION AND K-EVACUATION

In this section, we prove Theorem 1.2. Let $\max(T)$ denote the largest entry in a tableau T . For a rectangular tableau T , we write $\text{rot}(T)$ for the tableau formed by rotating 180 degrees and reversing the alphabet, so that label x becomes $\max(T) + 1 - x$. We define *K-evacuation* \mathcal{E} as in [TY09, §4] by analogy with evacuation for standard Young tableaux, using K-jeu de taquin in place of ordinary jeu de taquin. Define *dual K-evacuation* \mathcal{E}^* by $\mathcal{E}^* := \text{rot} \circ \mathcal{E} \circ \text{rot}$. (This definition of \mathcal{E}^* only makes sense for rectangular tableaux. For a tableau T of general shape λ , in place of applying rot , one should dualize λ (thought of as a poset) and reverse the alphabet. We will not make any essential use of this more general definition.)

Towards Theorem 1.2, we first prove basic combinatorics of the above operators that are well-known in the standard Young tableau case (cf. [Sta09]). These proofs are all straightforward modifications of those for the standard case. From these results, we observe that Theorem 1.2 follows from the claim that $\text{rot}(T) = \mathcal{E}(T)$ for every $T \in \text{Inc}(2 \times n; k)$. We first saw this approach in [Whi10] for the standard Young tableau case, although similar ideas appear in [Hai92, Sta09, ...]; we are not sure where it first appeared.

Finally, beginning at Lemma 3.3, we prove that for $T \in \text{Inc}(2 \times n; k)$, $\text{rot}(T) = \mathcal{E}(T)$. Here the situation is more subtle than in the standard case. (For example, we will show that the claim is not generally true for T a rectangular increasing tableau with more than 3 rows.) We

proceed by careful analysis of how rot , \mathcal{E} , \mathcal{E}^* , and \mathcal{P} act on the corresponding small Schröder paths.

Remark 3.1. It is not hard to see that K-promotion is reversible, and hence permutes the set of increasing tableaux.

Lemma 3.1. *K-evacuation and dual K-evacuation are involutions, $\mathcal{P} \circ \mathcal{E} = \mathcal{E} \circ \mathcal{P}^{-1}$, and for any increasing tableau T , $(\mathcal{E}^* \circ \mathcal{E})(T) = \mathcal{P}^{\max(T)}(T)$.*

Before proving Lemma 3.1, we briefly recall the *K-theory growth diagrams* of [TY09, §2, 4], which extend the standard Young tableau growth diagrams of S. Fomin (cf. [Sta99, Appendix 1]). We will write $[T]_j$ for the subtableau of T formed by deleting all entries $> j$. For $T \in \text{Inc}(\lambda; k)$, consider the sequence of Young diagrams $(\text{shape}([T]_j))_{0 \leq j \leq |\lambda| - k}$. Note that this sequence of diagrams uniquely encodes T . We draw this sequence of Young diagrams horizontally from left to right. Below this sequence, we draw, in successive rows, the sequences of Young diagrams associated to $\mathcal{P}^i(T)$ for $1 \leq i \leq |\lambda| - k$. Hence each row encodes the K-promotion of the row above it. We offset each row one space to the right. We will refer to this entire array as the *K-theory growth diagram for T* . (There are other K-theory growth diagrams for T that one might consider, but this is the only one we will need.) Figure 4 shows an example.

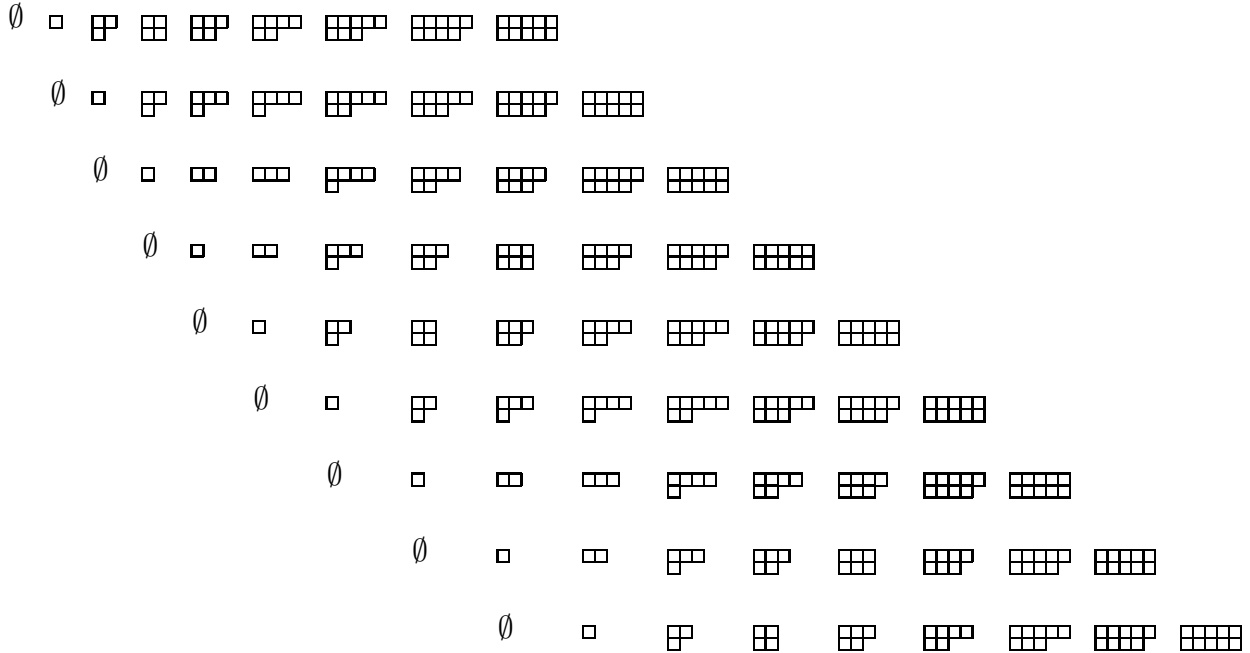


FIGURE 4. The K-theory growth diagram for the tableau T of Figure 3(A).

We will write YD_{ij} for the Young diagram $\text{shape}([\mathcal{P}^{i-1}(T)]_{j-i})$. This indexing is nothing more than imposing “matrix-style” or “English” coordinates on the K-theory growth diagram. For example in Figure 4, YD_{58} denotes $\begin{smallmatrix} \square & \square & \square & \square \\ \square & \square & \square & \square \end{smallmatrix}$, the Young diagram in the fifth row from the top and the eighth column from the left.

Remark 3.2. [TY09, Proposition 2.2] In any 2×2 square $\begin{smallmatrix} \lambda & \mu \\ \nu & \xi \end{smallmatrix}$ of Young diagrams in a K-theory growth diagram, ξ is uniquely and explicitly determined by λ, μ and ν . Similarly λ

is uniquely and explicitly determined by μ, ν and ξ . Furthermore these rules are symmetric, in the sense that if $\begin{smallmatrix} \lambda & \mu \\ \nu & \xi \end{smallmatrix}$ and $\begin{smallmatrix} \xi & \mu \\ \nu & \rho \end{smallmatrix}$ are both 2×2 squares of Young diagrams in K-theory growth diagrams, then $\lambda = \rho$.

Proof of Lemma 3.1. Fix a tableau $T \in \text{Inc}(\lambda; k)$. All of these facts are proven as in the standard case (cf. [Sta09, §5]), except one uses K-theory growth diagrams instead of ordinary growth diagrams. We omit some details from these easy arguments. The proof that K-evacuation is an involution appears in greater detail as [TY09, Theorem 4.1]. For rectangular shapes, the fact that dual K-evacuation is an involution follows from the fact that K-evacuation is, since $\mathcal{E}^* = \text{rot} \circ \mathcal{E} \circ \text{rot}$.

Briefly one observes the following. Essentially by definition, the central column (the column containing the rightmost \emptyset) of the K-theory growth diagram for T encodes the K-evacuation of the first row as well as the dual K-evacuation of the last row. The first row encodes T and the last row encodes $\mathcal{P}^{|\lambda|-k}(T)$. Hence $\mathcal{E}(T) = \mathcal{E}^*(\mathcal{P}^{|\lambda|-k}(T))$.

By the symmetry mentioned in Remark 3.2, one also observes that the first row encodes the K-evacuation of the central column and that the last row encodes the dual K-evacuation of the central column. This yields $\mathcal{E}(\mathcal{E}(T)) = T$ and $\mathcal{E}^*(\mathcal{E}^*(\mathcal{P}^{|\lambda|-k}(T))) = \mathcal{P}^{|\lambda|-k}(T)$, showing that K-evacuation and dual K-evacuation are involutions. Combining the above observations, yields $(\mathcal{E}^* \circ \mathcal{E})(T) = \mathcal{P}^{|\lambda|-k}(T)$.

Finally to show $\mathcal{P} \circ \mathcal{E} = \mathcal{E} \circ \mathcal{P}^{-1}$, it is easiest to append an extra \emptyset to the lower-right of the diagonal line of \emptyset s that appears in the K-theory growth diagram. This extra \emptyset lies in the column just right of the central one. This column now encodes the K-evacuation of the second row. Hence by the symmetry mentioned in Remark 3.2, the K-promotion of this column is encoded by the central column. Thus if $S = \mathcal{P}(T)$, the central column encodes $\mathcal{P}(\mathcal{E}(S))$. But certainly $\mathcal{P}^{-1}(S) = T$ is encoded by the first row, and we have already observed that the central column encodes $\mathcal{E}(T)$. Therefore $\mathcal{P}(\mathcal{E}(S)) = \mathcal{E}(\mathcal{P}^{-1}(S))$. \square

Let $\text{er}(T)$ be the least positive integer such that $(\mathcal{E}^* \circ \mathcal{E})^{\text{er}(T)}(T) = T$. We call this number the *evacuation rank* of T . Similarly we define the *promotion rank* $\text{pr}(T)$ to be the least positive integer such that $\mathcal{P}^{\text{pr}(T)}(T) = T$.

Corollary 3.2. *Let T be a increasing tableau. Then $\text{er}(T)$ divides $\text{pr}(T)$, $\text{pr}(T)$ divides $\max(T) \cdot \text{er}(T)$, and the following are equivalent:*

- (a) $\mathcal{E}(T) = \mathcal{E}^*(T)$,
- (b) $\text{er}(T) = 1$,
- (c) $\text{pr}(T)$ divides $\max(T)$.

Moreover if T is rectangular and $\mathcal{E}(T) = \text{rot}(T)$, then $\mathcal{E}(T) = \mathcal{E}^*(T)$.

Proof. Since, by Lemma 3.1, we have $(\mathcal{E}^* \circ \mathcal{E})(T) = \mathcal{P}^{\max(T)}(T)$, the evacuation rank of T is the order of $c^{\max(T)}$ in the cyclic group $\mathcal{C}_{\text{pr}(T)} = \langle c \rangle$. In particular, $\text{er}(T)$ divides $\text{pr}(T)$. Since $T = (\mathcal{E}^* \circ \mathcal{E})^{\text{er}(T)}(T) = (\mathcal{P}^{\max(T)})^{\text{er}(T)}(T) = \mathcal{P}^{\max(T) \cdot \text{er}(T)}(T)$, we have that $\max(T) \cdot \text{er}(T)$ is a multiple of $\text{pr}(T)$.

The equivalence of (a) and (b) is immediate from dual evacuation being an involution. These imply (c), since $(\mathcal{E}^* \circ \mathcal{E})(T) = \mathcal{P}^{\max(T)}(T)$. If $\text{pr}(T)$ divides $\max(T)$, then $\mathcal{P}^{\max(T)}(T) = T$, so $(\mathcal{E}^* \circ \mathcal{E})(T) = T$, showing that (c) implies (b).

By definition, for rectangular T , $\mathcal{E}^*(T) = (\text{rot} \circ \mathcal{E} \circ \text{rot})(T)$, so if $\text{rot}(T) = \mathcal{E}(T)$, then $\mathcal{E}^*(T) = (\mathcal{E} \circ \mathcal{E} \circ \mathcal{E})(T) = \mathcal{E}(T)$. \square

Thus to prove Theorem 1.2, it suffices to show that $\mathcal{E}(T) = \text{rot}(T)$ for every $T \in \text{Inc}(2 \times n; k)$. We use the bijection between $\text{Inc}(2 \times n; k)$ and small Schröder paths from Theorem 1.1. These paths are themselves in bijection with the sequence of their node heights, which we call the *height word*. Figure 3(C) shows an example. For $T \in \text{Inc}(2 \times n; k)$, we write P_T for the corresponding small Schröder path and S_T for the corresponding height word.

Recall that $[T]_j$ denotes the subtableau of T formed by deleting all entries $> j$.

Lemma 3.3. *For $T \in \text{Inc}(2 \times n; k)$, the i^{th} letter of the height word S_T is the difference between the lengths of the first and second rows of the Young diagram $\text{shape}([T]_{i-1})$.*

Proof. By induction on i . For $i = 1$, both quantities equal 0. The i^{th} segment of P_T is an upstep if and only if $\text{shape}([T]_i) \setminus \text{shape}([T]_{i-1})$ is a single box in the first row. The i^{th} segment of P_T is a downstep if and only if $\text{shape}([T]_i) \setminus \text{shape}([T]_{i-1})$ is a single box in the second row. The i^{th} segment of P_T is horizontal if and only if $\text{shape}([T]_i) \setminus \text{shape}([T]_{i-1})$ is two boxes, one in each row. \square

Lemma 3.4. *Let $T \in \text{Inc}(2 \times n; k)$. Then $P_{\text{rot}(T)}$ is the reflection of P_T across a vertical line and $S_{\text{rot}(T)}$ is the word formed by reversing S_T .*

Proof. Rotating T by 180 degrees corresponds to reflecting P_T across the horizontal axis. Reversing the alphabet corresponds to rotating P_T by 180 degrees. Thus $\text{rot}(T)$ corresponds to the path given by reflecting P_T across a vertical line.

The correspondence between reflecting P_T and reversing S_T is clear. \square

Lemma 3.5. *Let $T \in \text{Inc}(2 \times n; k)$ and $M = 2n - k$. Let x_i denote the $(M + 2 - i)^{\text{th}}$ letter of the height word $S_{\mathcal{P}^{i-1}(T)}$. Then $S_{\mathcal{E}(T)} = x_{M+1}x_M \dots x_1$.*

Proof. Consider the K-theory growth diagram for T . Observe that $YD_{i,M+1}$ is the $(M + 2 - i)^{\text{th}}$ Young diagram in the i^{th} row. Hence by Lemma 3.3, x_i is the difference between the lengths of the rows of $YD_{i,M+1}$. But $YD_{i,M+1}$ is also the i^{th} Young diagram from the top in the central column. The lemma follows by recalling that the central column encodes $\mathcal{E}(T)$. \square

We define the *flow path* $\phi(T)$ of an increasing tableau T to be the set of all boxes that are ever empty during the K-promotion that forms $\mathcal{P}(T)$ from T .

Lemma 3.6. *Let $T \in \text{Inc}(2 \times n; k)$.*

- (a) *The word S_T may be written in exactly one way as $0w_10w_3$ or $0w_11w_20w_3$, where w_1 is a sequence of strictly positive integers that ends in 1 and contains no consecutive 1s, w_2 is a (possibly empty) sequence of strictly positive integers, and w_3 is a (possibly empty) sequence of nonnegative integers.*
- (b) *Let w_1^- be the sequence formed by decrementing each letter of w_1 by 1. Similarly, let w_3^+ be formed by incrementing each letter of w_3 by 1.*

If S_T is of the form $0w_10w_3$, then $S_{\mathcal{P}(T)} = w_1^-1w_3^+0$. If S_T is of the form $0w_11w_20w_3$, then $S_{\mathcal{P}(T)} = w_1^-1w_21w_3^+0$.

Proof. It is clear that S_T may be written in exactly one of the two forms. Write ℓ_i for the length of w_i . Suppose first that S_T is of the form $0w_10w_3$. By the correspondence between tableaux and height sequences, $[T]_{\ell_1+1}$ is a rectangle, and for no $0 < x < \ell_1 + 1$ is $[T]_x$ a rectangle. Say $[T]_{\ell_1+1}$ has shape (m, m) . The flow path $\phi(T)$ contains precisely the first m

boxes of the first row and the last $n - m + 1$ boxes of the second row. Only the entry in box $(2, m)$ changes row during K-promotion. It is clear then that $S_{\mathcal{P}(T)} = w_1^- 1 w_3^+ 0$.

Suppose now that S_T is of the form $0w_1 1w_2 0w_3$. Then $[T]_{\ell_1+1}$ is of shape $(p+1, p)$ for some p , and $[T]_{\ell_1+\ell_2+2}$ is of shape (m, m) for some m . The flow path $\phi(T)$ contains precisely the first m boxes of the first row and the last $n - p + 1$ boxes of the second row. It is clear then that $S_{\mathcal{P}(T)} = w_1^- 1 w_2 1 w_3^+ 0$. \square

Notice that when $T \in \text{SYT}(2 \times n)$, S_T can always be written as $0w_1 0w_3$. Hence by Lemma 3.6(b), the promotion $S_{\mathcal{P}(T)}$ takes the particularly simple form $w_1^- 1 w_3^+ 0$.

For $T \in \text{Inc}(2 \times n; k)$, take the first $2n - k + 1$ columns of the K-theory growth diagram for T . Replace each Young diagram in the resulting array by the difference between the lengths of its first and second rows. Figure 5 shows an example. We write a_{ij} for the number corresponding to the Young diagram YD_{ij} . By Lemma 3.3, we see that the i^{th} row of this array of nonnegative integers is exactly the first $2n - k + 2 - i$ letters of $S_{\mathcal{P}^{i-1}(T)}$. Therefore we will refer to this array as the *height growth diagram for T* , and denote it by $\text{hgd}(T)$. Observe that the rightmost column of $\text{hgd}(T)$ corresponds to the central column of the K-theory growth diagram for T .

0	1	1	0	1	2	2	1	0
	0	1	1	2	3	3	2	1
		0	1	2	3	3	2	1
			0	1	2	2	1	0
				0	1	1	0	1
					0	1	1	2
						0	1	2
							0	1
								0

FIGURE 5. The height growth diagram $\text{hgd}(T)$ for the tableau T shown in Figure 3(A). The i^{th} row shows the first $10 - i$ letters of $S_{\mathcal{P}^{i-1}(T)}$. Lemma 3.7 says that row 1 is the same as column 9, read from top to bottom.

We will sometimes write $\mathcal{P}(S_T)$ for $S_{\mathcal{P}(T)}$.

Lemma 3.7. *In $\text{hgd}(T)$ for $T \in \text{Inc}(2 \times n; k)$, we have for all j that $a_{1j} = a_{j, 2n-k+1}$.*

Proof. Let $M = 2n - k$. We induct on the length of the height word. (The length of S_T is $M + 1$.)

Case 1. The height word S_T contains an internal 0.

Let the first internal 0 be the j^{th} letter of S_T . Then the first j letters of S_T are themselves the height word of some smaller rectangular increasing tableau T' . Because of the local properties of K-theory growth diagrams mentioned in Remark 3.2, we observe that the j^{th} column of $\text{hgd}(T)$ is the same as the rightmost column of $\text{hgd}(T')$. The height word $S_{T'}$ is shorter than the height word S_T , so by inductive hypothesis, the first row of $\text{hgd}(T')$ is the same as its rightmost column, read from top to bottom. Thus in $\text{hgd}(T)$, the first j letters of row 1 are the same as column j .

According to Lemma 3.6(b), in each of the first j rows of $\text{hgd}(T)$, the letter in column j is less than or equal to all letters to its right. Furthermore the letters in columns $j + 1$ through $M + 1$ are incremented, decremented, or unchanged from one row to the next in exactly the same way as the letter in column j . That is to say, for any $g \leq j \leq h$, $a_{gh} - a_{1h} = a_{gj} - a_{1j}$. Since $a_{1j} = a_{1,M+1} = 0$, this yields $a_{gj} = a_{g,M+1}$, so column j is the same as the first j letters of column $M + 1$, read from top to bottom. Thus the first j letters of row 1 are the same as the first j letters of column $M + 1$.

Now since $a_{j,M+1} = 0$, row j of $\text{hgd}(T)$ is itself the height word of some smaller tableau T^\dagger . Again by inductive hypothesis, we conclude that in $\text{hgd}(T)$, row j is the same as the last $M + 2 - j$ letters of column $M + 1$, read from top to bottom. But as previously argued, the letters in columns $j + 1$ through $M + 1$ are incremented, decremented, or unchanged from one row to the next in the same way as the letter in column j . Hence row j agrees with the last $M + 2 - j$ letters of row 1, and so the last $M + 2 - j$ letters of row 1 agree with the last $M + 2 - j$ letters of column $M + 1$. Thus, as desired, row 1 of $\text{hgd}(T)$ is the same as column $M + 1$, read from top to bottom.

Case 2. The height word S_T contains no internal 0.

Notice that $s_{1M} = 1$. Hence by Lemma 3.6(b), there will be an internal 0 in the K-promotion $S_{\mathcal{P}(T)}$, unless S_T is the word 010 or begins 011.

Case 2.1. The height word $S_{\mathcal{P}(T)}$ contains an internal 0.

Let the first internal 0 be in column j of $\text{hgd}(T)$. Then by Lemma 3.6(b), the first $j - 1$ letters of row 2 of $\text{hgd}(T)$ are all exactly one less than the letters directly above them in row 1. That is for $2 \leq h \leq j$, we have $a_{2h} = a_{1h} - 1$. Also observe $a_{2,M+1} = 1$.

The first $j - 1$ letters of row 2 are the height sequence of some tableau T' with $S_{T'}$ shorter than S_T . So by inductive hypothesis, the first $j - 1$ letters of row 2 of $\text{hgd}(T)$ are the same as the last $j - 1$ letters of column j , read from top to bottom. That is to say $a_{2h} = a_{hj}$, for all $2 \leq h \leq j$.

Since $a_{2j} = 0$ and $a_{2,M+1} = 1$, and since the letters below the first row in columns $j + 1$ through $M + 1$ are incremented, decremented, or unchanged in the same way as the letter in column j , it follows that for $2 \leq h \leq j$, $a_{hj} = a_{h,M+1} - 1$. Therefore the first j letters of row 1 are the same as the first j letters of column $M + 1$.

Consider the height word S' formed by prepending a 0 to the last $M + 2 - j$ letters of row 1. The last $M + 2 - j$ letters of row 2 are the same as the first $M + 2 - j$ letters of $\mathcal{P}(S')$. But the last $M + 2 - j$ letters of row 2 are the same as row j . Therefore by inductive hypothesis, the last $M + 2 - j$ letters of column $M + 1$ are the same as the last $M + 2 - j$ letters of S' , which are by construction exactly the last $M + 2 - j$ letters of row 1. Thus row 1 is exactly the same as column $M + 1$.

Case 2.2. $S_T = 010$.

Trivially verified by hand.

Case 2.3. S_T begins 011.

Row 2 of $\text{hgd}(T)$ is produced from row 1 by deleting the initial 0, changing the first 1 into a 0, and changing the final 0 into a 1. Let S' be the word formed by replacing the final 1 of row 2 with a 0. Note that $a_{3,M+1} = 1$. Therefore row 3 agrees with the first $M - 1$ letters

of $\mathcal{P}(S')$. Therefore by inductive hypothesis, the last $M - 1$ letters of S' are the same as the last $M - 1$ letters of column $M + 1$. Hence the last M letters of column $M + 1$ are the same as row 2, except for having a 1 at the beginning instead of a 0 and a 0 at the end instead of a 1. But these are exactly the changes we made to produce row 2 from row 1. Thus row 1 is the same as column $M + 1$. \square

Corollary 3.8. *In the notation of Lemma 3.5, $S_T = x_1 x_2 \dots x_{M+1}$.* \square

Proposition 3.9. *Let $T \in \text{Inc}(2 \times n; k)$. Then $\mathcal{E}(T) = \text{rot}(T)$.*

Proof. By Corollary 3.8, $S_T = x_1 x_2 \dots x_{2n-k+1}$. Hence by Lemma 3.4, we have $S_{\text{rot}(T)} = x_{2n-k+1} x_{2n-k} \dots x_1$. However Lemma 3.5 says that also $S_{\mathcal{E}(T)} = x_{2n-k+1} x_{2n-k} \dots x_1$. By the bijective correspondence between tableaux and height words, this yields $\mathcal{E}(T) = \text{rot}(T)$. \square

This completes our first proof of Theorem 1.2. We will obtain an alternate proof in Section 4. We now show a counterexample to the obvious generalization of Theorem 1.2 to increasing tableaux of more than two rows.

Example 3.10. If T is the increasing tableau $\begin{array}{|c|c|c|c|} \hline 1 & 2 & 4 & 7 \\ \hline 3 & \underline{5} & 6 & 8 \\ \hline 5 & \underline{7} & 8 & 10 \\ \hline 7 & 9 & 10 & 11 \\ \hline \end{array}$, then $\mathcal{P}^{11}(T) = \begin{array}{|c|c|c|c|} \hline 1 & 2 & 4 & 7 \\ \hline 3 & \underline{4} & 6 & 8 \\ \hline 5 & \underline{6} & 8 & 10 \\ \hline 7 & 9 & 10 & 11 \\ \hline \end{array}$. (The underscores mark entries that differ between the two tableaux.) It can be verified that the promotion rank of this tableau is 33.

Computer checks of small examples (including all with at most seven columns) did not identify such a counterexample for T a 3-row rectangular increasing tableau.

4. CYCLIC SIEVING

Proof of Theorem 1.3. Recall we defined

$$f(q) := \frac{\begin{bmatrix} n-1 \\ k \end{bmatrix}_q \begin{bmatrix} 2n-k \\ n-k-1 \end{bmatrix}_q}{[n-k]_q}$$

to be the q -enumerator for $\text{Inc}(2 \times n; k)$ obtained in Theorem 1.1. Our strategy (modeled throughout on [RSW04, §7]) is to explicitly evaluate f at roots of unity and compare the result with a count of increasing tableaux. To count tableaux, we use the bijection with noncrossing partitions given in Proposition 2.3. We will find that the symmetries of these partitions more transparently encode the promotion ranks of the corresponding tableaux.

Lemma 4.1. *Let ζ be any primitive d^{th} root of unity, for d dividing $2n - k$. Then*

$$f(\zeta) = \begin{cases} \frac{\left(\frac{2n-k}{d}\right)!}{\left(\frac{k}{d}\right)!\left(\frac{n-k}{d}\right)!\left(\frac{n-k}{d}-1\right)!\frac{n}{d}}, & \text{if } d|n \\ \frac{\left(\frac{2n-k}{d}\right)!}{\left(\frac{k+2}{d}-1\right)!\left(\frac{n-k-1}{d}\right)!\left(\frac{n-k-1}{d}\right)!\frac{n+1}{d}}, & \text{if } d|n+1 \\ 0, & \text{otherwise.} \end{cases}$$

Proof. As in [RSW04, §7], we observe that

- for all $j \in \mathbb{N}$, ζ is a root of $[j]_q$ if and only if $d > 1$ divides j , and

- for all $j, j' \in \mathbb{N}$ with $j \equiv j' \pmod{d}$,

$$\lim_{q \rightarrow \zeta} \frac{[j]_q}{[j']_q} = \begin{cases} \frac{j}{j'}, & \text{if } j \equiv 0 \pmod{d} \\ 1, & \text{if } j \not\equiv 0 \pmod{d} \end{cases}.$$

The desired formula is then an easy but tedious calculation analogous to those carried out in [RSW04, §7]. \square

We will write π for the bijection of Proposition 2.3 from $\text{Inc}(2 \times n; k)$ to noncrossing partitions of $2n - k$ into $n - k$ blocks all of size at least 2. For Π a noncrossing partition of N , we write $\mathcal{R}(\Pi)$ for the noncrossing partition given by rotating Π clockwise by $2\pi/N$.

Lemma 4.2. *For any $T \in \text{Inc}(2 \times n; k)$, $\pi(\mathcal{P}(T)) = \mathcal{R}(\pi(T))$.*

Proof. We think of K-promotion as taking place in two steps. In the first step, we remove the label 1 from the tableau T , perform K-jeu de taquin, and label the now vacated lower-right corner by $2n - k + 1$. Call this intermediate filling T' . In the second step, we decrement each entry by one to obtain $\mathcal{P}(T)$.

The filling T' is not strictly an increasing tableau, as no box is labeled 1. However, by analogy with the construction of Proposition 2.3, we may associate to T' a noncrossing partition of $\{2, \dots, 2n - k + 1\}$. For each i in the second row of T' , let s_i be the largest number in the first row that is less than i and that is not s_j for some $j < i$. The noncrossing partition $\pi(T')$ is formed by declaring i and s_i to be in the same block.

Claim. $\pi(T')$ may be obtained from $\pi(T)$ merely by renaming the element 1 as $2n - k + 1$.

In T , there are three types of elements:

- (A) those that appear only in the first row,
- (B) those that appear only in the second, and
- (C) those that appear in both.

Most elements of T' are of the same type as they were in T . In fact, the only elements that change type are in the block of $\pi(T)$ containing 1. If that block contains only one element other than 1, this element changes from type (B) to type (A). If the block contains several elements besides 1, the least of these changes from type (C) to type (A) and the greatest changes from type (B) to type (C). All other elements remain the same type. Observing that the element 1 was of type (A) in T and that $2n - k + 1$ is of type (B) in T' , this proves the claim. \square

By definition, $\pi(\mathcal{P}(T))$ is obtained from $\pi(T')$ merely by decrementing each element by one. However by the claim, $\mathcal{R}(\pi(T))$ is also obtained from $\pi(T')$ by decrementing each element by one. Thus $\pi(\mathcal{P}(T)) = \mathcal{R}(\pi(T))$. \square

It remains now to count noncrossing partitions of $2n - k$ into $n - k$ blocks all of size at least 2 that are invariant under rotation by $2\pi/d$, and to show that we obtain the formula of Lemma 4.1. We observe that for such rotationally symmetric noncrossing partitions, the cyclic group \mathcal{C}_d acts freely on all blocks, except the central block (the necessarily unique block whose convex hull contains the center of the circle) if it exists. Hence there are no such invariant partitions unless $n - k \equiv 0$ or $1 \pmod{d}$, in agreement with Lemma 4.1.

Arrange the numbers $1, 2, \dots, n, -1, \dots, -n$ counterclockwise at equally spaced points around a circle. Consider a partition of these points such that, for every block B , the set formed by negating all elements of B is also a block. If the convex hulls of the blocks

are pairwise nonintersecting, we call such a partition a *noncrossing B_n -partition* or *type-B noncrossing partition* (cf. [Rei97]). Whenever we say that a type-B noncrossing partition has p pairs of blocks, we do not count the central block. There is an obvious bijection between noncrossing partitions of $2n - k$ that are invariant under rotation by $2\pi/d$ and noncrossing $B_{(2n-k)/d}$ -partitions. Under this bijection a noncrossing partition Π with $n - k$ blocks corresponds to a type-B noncrossing partition with $\frac{n-k}{d}$ pairs of blocks if d divides $n - k$ (that is, if Π has no central block), and corresponds to a type-B noncrossing partition with $\frac{n-k-1}{d}$ pairs of blocks if d divides $n - k - 1$ (that is, if Π has a central block). The partition Π has singleton blocks if and only if the corresponding type-B partition does.

Lemma 4.3. *The number of noncrossing B_N -partitions with p pairs of blocks without singletons and without a central block is*

$$\sum_{i=0}^p (-1)^i \binom{N}{i} \binom{N-i}{p-i}^2 \frac{p-i}{N-i}.$$

The number of such partitions with a central block is

$$\sum_{i=0}^p (-1)^i \binom{N}{i} \binom{N-i}{p-i}^2 \frac{N-p}{N-i}.$$

Proof. It was shown in [Rei97] that the number of noncrossing B_N -partitions with p pairs of blocks is $\binom{N}{p}^2$. In [RSW04], it was observed that [AR04, Lemma 4.4] implies that exactly $\frac{N-p}{N} \binom{N}{p}^2$ of these have a central block. Our formulas for partitions without singleton blocks follow immediately from these observations by Inclusion–Exclusion. \square

It remains to prove the following pair of combinatorial identities:

$$\sum_{i=0}^p (-1)^i \binom{N}{i} \binom{N-i}{p-i}^2 \frac{p-i}{N-i} = \frac{N!}{(N-2p)!p!(p-1)!(N-p)}$$

and

$$\sum_{i=0}^p (-1)^i \binom{N}{i} \binom{N-i}{p-i}^2 \frac{N-p}{N-i} = \frac{N!}{(N-2p-1)!p!p!(N-p)}.$$

This is a routine verification via the techniques of [PWZ96], which have implementations for most major computer algebra systems. We used the algorithm [Weg97] as implemented in the Mathematica package [WRZ12]. Even though it is verifiable by hand, we do not include the computer-generated proof, as it is long, unenlightening, and easily reproduced. This completes the proof of Theorem 1.3. \square

Recently, Athanasiadis–Savvidou [AS12, Proposition 3.2] independently determined that the number of noncrossing B_N -partitions with p pairs of blocks without singletons and without a central block is $\binom{N}{p} \binom{N-p-1}{p-1}$. Using this result in place of our Lemma 4.3, the computer verification in the proof of Theorem 1.3 may be avoided.

Lemma 4.2 yields a second proof of Theorem 1.2. We observe that under the reformulation of Lemma 4.2, Theorem 1.3 bears a striking similarity to Theorem 7.2 of [RSW04] which gives a cyclic sieving on the set of *all* noncrossing partitions of $2n - k$ into $n - k$ parts with respect to the same cyclic group action.

Additionally, under the correspondence mentioned in Section 2 between $\text{Inc}(2 \times n; k)$ and dissections of an $(n+2)$ -gon with $n-k-1$ diagonals, Theorem 1.3 bears a strong resemblance to Theorem 7.1 of [RSW04], which gives a cyclic sieving on the same set with the same q -enumerator, but with respect to an action by \mathcal{C}_{n+2} instead of \mathcal{C}_{2n-k} . S.-P. Eu–T.-S. Fu [EF08] reinterpret the \mathcal{C}_{n+2} -action as the action of a Coxeter element on the k -faces of an associahedron. We do not know such an interpretation of our action by \mathcal{C}_{2n-k} . In [RSW04], the authors note many similarities between their Theorems 7.1 and 7.2 and ask for a unified proof. It would be very satisfying if such a proof could also account for our Theorem 1.3.

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